



Docket No.

PATENT

**COMBINED DECLARATION AND POWER OF ATTORNEY  
(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT)**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: **THERMAL CONTROL OF OPTICAL COMPONENTS**

the specification of which

(a)      is attached hereto.

(b) X was filed on January 16, 2004 as Serial No. 10/760,145 or Express Mail No. as Serial No. not yet known, and was amended on                                      (if applicable).

(c)      as described and claimed in PCT International Application No.                                      filed on                                      and amended under PCT Article 19 on                                      (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

**PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(d) X no such applications have been filed.

(e)      such applications have been filed as follows.

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35, USC 119
<u>                    </u>	<u>                                    </u>	<u>                                    </u>	<u>    </u> Yes <u>    </u> No
<u>                    </u>	<u>                                    </u>	<u>                                    </u>	<u>    </u> Yes <u>    </u> No

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## POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.  
(List name and registration number)

Gregory Turocy, Reg. No. 36,952

Himanshu S. Amin, Reg. No. 40,894

Customer No. 23623

The undersigned to this declaration and power of attorney hereby authorizes the U.S. attorney(s) named herein to accept and follow instructions from

Name(s) of authorized representative(s): Anthony J. Ticknor, and Martin J. Lundie, all of NeoPhotonics Corporation, Address 2911 Zanker Drive, San Jose, CA 95134.

as to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney(s) and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorney(s) will be so notified by the undersigned.

---

**Send Correspondence To:**

Gregory Turocy  
Amin & Turocy, LLP  
24<sup>TH</sup> Floor, National City Center  
1900 East 9<sup>th</sup> Street  
Cleveland, OH 44114

---

**Direct Telephone Calls To:**  
(name and telephone number)

Gregory Turocy  
(216) 696-8730

---

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued therein.

Full name of sole or first inventor: Ming Yan

Inventor's signature: [Signature]

Date: 1/13/06 Country of Citizenship: U.S.A.

Residence: Pleasanton, CA

Post Office Address: 2809 Elsnab Court  
Pleasanton, CA 94588

Full name of second or joint inventor: Anthony J. Ticknor

Inventor's signature: [Signature]

Date: 1/11/06 Country of Citizenship: U.S.A.

Residence: Cupertino, CA

Post Office Address: 10952 Barranca Drive  
Cupertino, CA 95014

Full name of **third or joint inventor**: Calvin Ho  
Inventor's signature: [Signature]  
Date: 1/12/06 Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 5818 Tandra Avenue  
San Jose, CA 95123

Full name of **fourth or joint inventor**: Hao Xu  
Inventor's signature: [Signature]  
Date: 1/12/06 Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 1429 Main Entrance Drive  
San Jose, CA 95131

Full name of **fifth or joint inventor**: Jason Weaver  
Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_ Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 917 Del Avion Lane  
San Jose, CA 95138

Full name of **sixth or joint inventor**: Thomas S. Tarter  
Inventor's signature: [Signature]  
Date: 1/12/06 Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 1110 Bentoak Lane  
San Jose, CA 95129

Full name of **seventh or joint inventor**: Jane Lam  
Inventor's signature: [Signature]  
Date: 1/12/06 Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 1487 Oyama Place  
San Jose, CA 95131

CHECK FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH  
FORM A PART OF THIS DECLARATION

\_\_\_\_ Signature for eighth and subsequent joint inventors. Number of pages added \_\_\_\_.

X This declaration ends with this page.



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Himanshu S. Amin, Reg. No. 40,894

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Date: \_\_\_\_\_ Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 5818 Tandra Avenue  
San Jose, CA 95123

Full name of **fourth or joint inventor**: Hao Xu  
Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_ Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 1429 Main Entrance Drive  
San Jose, CA 95131

Full name of **fifth or joint inventor**: Jason Weaver  
Inventor's signature: Jason Weaver  
Date: 4/16/06 Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 917 Del Avion Lane  
San Jose, CA 95138

Full name of **sixth or joint inventor**: Thomas S. Tarter  
Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_ Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 1110 Bentoak Lane  
San Jose, CA 95129

Full name of **seventh or joint inventor**: Jane Lam  
Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_ Country of Citizenship: U.S.A.  
Residence: San Jose, CA  
Post Office Address: 1487 Oyama Place  
San Jose, CA 95131

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